

Common Application Form

For all Schemes of Monarch Mutual Fund

Please read the Key Information Memorandum, the Product Labels and instructions carefully before filling this form.
 (The Application Form must be filled in English in BLOCK LETTERS using black or dark blue ink.)

Toll free: 1800 203 7186 | Email: customer@monarchamc.in | Company Website: www.monarchamc.in

Application No.

Please read the instructions and refer to SID, KIM and Addendums issued for the respective schemes and SAI of Monarch Mutual Fund

1. DISTRIBUTOR INFORMATION*

(Please refer instruction no. 1)

Broker Code/ ARN/RIA**/PMRN** Code	Sub Broker/Agent's ARN Code	Internal Code for Sub-Agent/Employee	EUIN*	ISC Date, Timestamp & Reference No.

☐ **By mentioning RIA/PMRN code, I/We authorize you to share with the Investment Adviser / Portfolio Manager the details of my/our transaction in the scheme (s) of Monarch Mutual Fund, (Please if applicable) *In case the EUIN box has been left blank, please refer the point related to EUIN in the Declaration & Signatures section overleaf. Commission "if any applicable" shall be paid directly by the investor to the AMFI registered distributor, based on the investor's assessment of various factors, including the service rendered by the distributor.

Go Green Initiative (Refer instruction no.11) ☐ *Opt-in-Physical *(Default Opt-out)

2. UNIT HOLDING OPTION

☒ PHYSICAL MODE (Default)

☐ DEMAT MODE*

(Please refer instruction no. 7)

*Demat Account details are mandatory if the investor wishes to hold the units in Demat Mode. Please ensure that the sequence of names as given in the order of the applicants matches as per the Depository Details. In case of any ambiguity or validation failure with the depository details, AMC will allot units in the Physical Mode.

National Securities Depository Limited		Central Depository Services (India) Limited	
DP Name	DP Name	DP ID	IN
Beneficiary A/c No.	Beneficiary A/c No.		

Enclosures - Please ☒ Client Masters List (CML) ☐ Transaction cum Holding Statement ☐ Delivery Instruction Slip (DIS)

3. MODE OF HOLDING

(Please refer instruction no. 2)

(In case of Demat Purchase, Mode of Holding should be same as in Demat Account)

☐ Single

☐ Joint

☐ Anyone or Survivor (Default)

4. APPLICANT(S) NAME AND INFORMATION

(If the 1st / Sole Applicant is Minor, then please provide details of natural / legal guardian)

(Please refer instruction no. 3 & 4)

Existing Folio No. (Kindly fill only 9, 10, 13 & 14)

Gender ☐ Male ☐ Female ☐ Others

Name of Sole / 1st Applicant
(Name as per PAN)

Mr/Ms./M/s. First Middle Last

PAN/PEKRN
(Mandatory)

CKYC No.

Date of Birth / Date
of Incorporation
(Mandatory)

Date of Birth Proof for minors (If any one please fill guardian details)

☐ Birth Certificate

☐ Marks Sheet (HSC/ICSE/CBSE)

☐ School Leaving Certificate

☐ Passport

☐ Others

(Please Specify)

Mobile No.

Email ID

The Email ID belongs to (Mandatory Please ☒ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Sibling ☐ Dependent Parents ☐ Guardian ☐ PMS ☐ Custodian ☐ POA
 The Mobile No. belongs to (Mandatory Please ☒ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Sibling ☐ Dependent Parents ☐ Guardian ☐ PMS ☐ Custodian ☐ POA

The default Communication mode is E-mail only, if you wish to receive following document(s) via physical mode: (please ☒ here) ☐ Account Statement ☐ Annual Report/Abridged summary ☐ Other Statutory Information (We would recommend you to choose an online mode to help us save paper & contribute towards a greener & cleaner environment.)

LEI Code

Valid upto

(Legal Entity Identifier Number is Mandatory for transaction value of INR 50 crore and above for Non-Individual investors. Refer Instruction no. 4a)

Tax Status
(Mandatory, Please ☒)

☐ Resident Individual

☐ NRI-Repatriation

☐ NRI-Non Repatriation

☐ Partnership

☐ Trust

☐ HUF

☐ AOP

☐ Minor through guardian

☐ Company

☐ FIs

☐ PIO

☐ Body Corporate

☐ Society/Club

☐ Sole Proprietorship

☐ Non-Profit Organization*

☐ Financial Institution

☐ NBFC

☐ Bank

☐ Others

(Please Specify)

*Non Profit Organization [NPO]

We are falling under "Non-Profit Organization" [NPO] which has been constituted for religious or charitable purposes referred to in clause (15) of section 2 of the Income-tax Act, 1961 (43 of 1961), and is registered as a trust or a society under the Societies Registration Act, 1860 (21 of 1860) or any similar State legislation or a Company registered under the section 8 of the Companies Act, 2013 (18 of 2013).

If yes, please quote the Registration No. provided by DARPAN portal of NITI Aayog:

If not, please register immediately and confirm with the above information. Failure to get above confirmation or registration with the portal as mandated, wherever applicable will reject the application. We am/are aware that we may be liable for it for any fines or consequences as required under the respective statutory requirements and authorize you to deduct such fines/charges under intimation to me/us or collect such fines/charges in any other manner as might be applicable.

ACKNOWLEDGEMENT SLIP (Please retain this slip)

To be filled in by the investor. Subject to realization of cheque and finishing of Mandatory Information.

Collection Center's Stamp & Receipt Date and Time

Name of the Investor Mr/Ms/M/s : Application No:

Investment details

Scheme	Plan	Option	Purchase Amount	Instrument No	Date	Drawn on Bank
	☐ Regular ☐ Direct	☐ Growth (Default) ☐ Monthly IDCW Re-investment (Default) ☐ Daily IDCW Re-investment ☐ Monthly IDCW Payout	₹ (in figures)			

Please note: All purchases are subject to realization of cheque and as per applicable load structure (please refer Scheme Information Document)

5. GUARDIAN DETAILS (In case First / Sole Applicant is minor) / CONTACT PERSON —DESIGNATION / POA HOLDER (In case of Non- Individual Investors) [Name and DOB shall be as per PAN Card]

Name as per PAN Card	First	Middle	Last
PAN (Mandatory)			
CKYC No.			
Gender	☐ Male ☐ Female ☐ Others		
Mobile No.			
Email ID			
Designation/Relationship with Minor			
Date of Birth/Date of Incorporation (Mandatory)	D D M M Y Y Y Y		

6a. MAILING ADDRESS (Address as per KYC)

Local Address of 1st Applicant			
City			
Dist.			
State			
Pin Code			
Telephone			

6b. OVERSEAS CORRESPONDENCE ADDRESS (Mandatory for NRI/FII Applicant)

[Please provide Full Address P.O. Box address is not sufficient]			
Zip Code:			
Telephone			
Mobile			

7a. SECOND APPLICANT'S DETAILS* (*In case of Minor, there shall be no joint holders) [Name and DOB shall be as per PAN]

Name as per PAN Card	First	Middle	Last
PAN (Mandatory)			
CKYC No.			
Gender	☐ Male ☐ Female ☐ Others		
Mobile No.			
Email ID			
The Email ID belongs to (Mandatory Please ✓) ☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings ☐ Dependent Parents ☐ Guardian ☐ PMS ☐ Custodian ☐ POA			
The Mobile No. belongs to (Mandatory Please ✓) ☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings ☐ Dependent Parents ☐ Guardian ☐ PMS ☐ Custodian ☐ POA			
Tax Status (Mandatory, Please ✓) ☐ Resident Individual ☐ NRI-Repatriation ☐ NRI-Non Repatriation			

7b. THIRD APPLICANT'S DETAILS* (*In case of Minor, there shall be no joint holders) [Name and DOB shall be as per PAN]

Name as per PAN Card	First	Middle	Last
PAN (Mandatory)			
CKYC No.			
Gender	☐ Male ☐ Female ☐ Others		
Mobile No.			
Email ID			
The Email ID belongs to (Mandatory Please ✓) ☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings ☐ Dependent Parents ☐ Guardian ☐ PMS ☐ Custodian ☐ POA			
The Mobile No. belongs to (Mandatory Please ✓) ☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings ☐ Dependent Parents ☐ Guardian ☐ PMS ☐ Custodian ☐ POA			
Tax Status (Mandatory, Please ✓) ☐ Resident Individual ☐ NRI-Repatriation ☐ NRI-Non Repatriation			

8a. KYC Details (Mandatory Occupation)

(Please refer instruction no. 4e)

First Applicant:	☐ Private Sector Service ☐ Public Sector Service ☐ Government Service ☐ Business ☐ Professional ☐ Agriculturist ☐ Retired
	☐ Housewife ☐ Student ☐ Forex Dealer ☐ Others (please specify)
Second Applicant:	☐ Private Sector Service ☐ Public Sector Service ☐ Government Service ☐ Business ☐ Professional ☐ Agriculturist ☐ Retired
	☐ Housewife ☐ Student ☐ Forex Dealer ☐ Others (please specify)
Third Applicant:	☐ Private Sector Service ☐ Public Sector Service ☐ Government Service ☐ Business ☐ Professional ☐ Agriculturist ☐ Retired
	☐ Housewife ☐ Student ☐ Forex Dealer ☐ Others (please specify)

8b. Gross Annual Income

First Applicant:	☐ Below 1 Lacs ☐ 1-5 Lacs ☐ 5-10 Lacs ☐ 10-25 Lacs ☐ >25 Lacs-1 crore ☐ >1 crore
	OR Net worth (for Non-Individuals) ₹ (please specify) as on D D M M Y Y Y Y (Not older than 1 year)
Second Applicant:	☐ Below 1 Lacs ☐ 1-5 Lacs ☐ 5-10 Lacs ☐ 10-25 Lacs ☐ >25 Lacs-1 crore ☐ >1 crore
	OR Net worth (for Non-Individuals) ₹ (please specify) as on D D M M Y Y Y Y (Not older than 1 year)
Third Applicant:	☐ Below 1 Lacs ☐ 1-5 Lacs ☐ 5-10 Lacs ☐ 10-25 Lacs ☐ >25 Lacs-1 crore ☐ >1 crore
	OR Net worth (for Non-Individuals) ₹ (please specify) as on D D M M Y Y Y Y (Not older than 1 year)

Tear here

Any communication in connection with this application should be addressed to the Registrar & Transfer Agent of the scheme.

Investment Manager:

Monarch Network Asset Management Private Limited
(SEBI Reg. No. Monarch Mutual Fund - MF/092/26/18)
804, Naman Centre, G-Block, Plot No. C 31 & C 32, BKC, Bandra East, Mumbai 400051, Maharashtra.
Email: Customercare@monarchamc.in
Website: www.monarchamc.in
Toll free: 1800 203 7186

Registrar and Transfer Agent (RTA):

KFin Technologies Limited
(SEBI Reg. No. INR000000221)
Plot no. 31 & 32, Selenium Tower- B, Serilingampally, Nanakramguda,
Financial District, Hyderabad – 500032, Telangana
Email: Customercare@monarchamc.in
Website: www.kfintech.com

(Please refer instruction no. 4d)

8d. For Non Individuals, if involved in any of the below mentioned services, please ✓ the appropriate option:

BANK ACCOUNT DETAILS FOR PAYOUT (Please attach copy of cancelled cheque)

(Please refer instruction no. 5)

9. INVESTMENT & PAYMENT DETAILS* The name of the first/ sole applicant must be pre-printed on the cheque

(Please refer instruction no. 6)

Cheque to be drawn in favour of Scheme Name, and the cheque amount should match with Investment amount mentioned here.
 "If you wish to register SIP kindly fill the relevant SIP Registration & OTM Debit Mandate Form."

10. FATCA AND CRS DETAILS FOR INDIVIDUALS (Including Sole Proprietor) [Mandatory]

(Please refer instruction no. 8)

(Refer instructions of FATCA, UBO & NPO Form)

The below information is required for all applicants/guardian

Are you a tax resident (i.e., are you assessed for Tax) in any other country outside India? ☐ Yes ☐ No [Please tick (✓)]

*If the address type is not ticked the default will be considered as residential.

11. NOMINATION DETAILS* (To be filled in by individuals singly or jointly. Mandatory only for Investors who opt to hold units in Non-Demat)

(Please refer instruction no. 9)

☐ I/We do hereby nominate the person(s) more particularly described here under to receive the Units held in my/our Folio in the event of my/our death.
(Please fill the nominee details in the table given below)

OR

☐ I hereby confirm that I do not wish to appoint any nominee(s) to my demat account/ mutual fund folio at this point of time.
I understand that –
(i) the nomination helps to quickly identify the person for transfer of securities and helps in faster and smoother transmission of my securities to my legal heir(s) after my demise.
(ii) in the absence of a nomination, my legal heir(s) may require the submission of certain additional legal or court-issued documents which may delay the process of transmission of securities to my legal heir(s).
(iii) if no claim is made on the account / folio for a prolonged period after my demise, the holdings may be treated as unclaimed assets and they may be transferred to Investor Education and Protection Fund Authority (IEPF) in accordance with the applicable regulatory framework.
I confirm that I have understood the above implications and that my decision to opt out of nomination is voluntary.

If you do not wish to nominate (Opt Out of Nomination), it is mandatory to sign as per the mode of holding in signature space provided below i.e, in Nomination Details section

Name of the Nominee(s)	Relationship with Applicant (Mandatory)	Date of Birth of Minor (Mandatory)	Guardian Name	Guardian's relationship with nominee	Proportion (%)**in which the units will be shared by each Nominee (should aggregate to 100%) (Mandatory)	Nominee Address ☐ Please tick if the nominee address is same as mentioned in first holder address
		(Mandatory to be furnished in case the Nominee is a minor)				
Nominee 1		DD/MM/YYYY				
Nominee 2		DD/MM/YYYY				
Nominee 3		DD/MM/YYYY				

Email ID	Mobile Number	Identity Type *** (PAN/Adhaar last 4 digits DL/Passport for NRI's)	Identity Number	Signature of Nominee/ Guardian of Nominee (Optional)
Nominee 1				
Nominee 2				
Nominee 3				

**if % is not specified, then the assets shall be distributed equally amongst all the nominees.

***Provide only number: PAN or Driving Licence or Aadhaar (last 4 digits). For NRI/ OCI/PIO, Passport number is acceptable. Copy of the document is not required.

****to be furnished only in following conditions/ circumstances:

. Date of Birth(DoB): Mandatory, only if the nominee is minor.

. Guardian: It is optional for you to provide, if the nominee is minor.

1. I/ We want the details of my / our nominee to be printed in the statement of holding, provided to me/ us by the AMC as follows: (please tick, as appropriate)

☐ Name of nominee(s) ☐ Nominate: Yes / No

2. This nomination shall supersede any prior nomination made by me / us, if any.

Signature(s) #Signatures of two witness(es), along with name and address are required, if the account holder affixes thumb impression, instead of wet signature.

Name(s) of the holder(s)	Signature(s) of holder	Witness Name, Address and Signature
Sole/First Holder (Mr./Ms.)		1.
Second Holder (Mr./Ms.)		
Third Holder (Mr./Ms.)		2.

12. CONFIRMATION CLAUSE

I/We hereby confirm that I/We have read, understood, and agree to the Privacy Policy available at www.monarchamc.in. I/We provide my/our free, specific, informed, unconditional, and unambiguous consent to Monarch Network Asset Management Private Limited ("AMC")/the Fund for the collection, processing, storage, use, or disclosure of my/our personal data for lawful and necessary purposes related to investment, regulatory compliance, servicing, or any other activity incidental or connected thereto.

☐ I/We understand that my/our personal data may be shared with third parties, service providers, or other entities engaged under contract with the AMC/Fund, in accordance with applicable laws and the Digital Personal Data Protection Act, 2023, and its Rules, 2025.

I/We acknowledge that I/We have been informed of my/our rights to access, correct, update, and withdraw consent for processing my/our personal data, and that such withdrawal may affect the provision of services by the AMC/Fund. I/We further consent to the retention of my/our personal data only for as long as necessary to fulfill the lawful purposes for which it was collected or as required under applicable laws and regulations

13. DECLARATION AND SIGNATURES

I / We have read, understood the terms and conditions of the scheme related documents and the addendum issued therein till date, Key Information Memorandum of the Schemes as well as the rules and regulations of SEBI, AMFI, Prevention of Money Laundering Act, 2002 and such other regulations as may be applicable to me / us from time to time and agree to comply with the same as a Unitholder. I / We hereby apply to the Trustees for allotment of Units of the Scheme(s) of Monarch Mutual Fund ("Fund") and confirm and declare as under:

- (a) I / We am / are eligible Investor(s) as per the scheme related documents and not prohibited from accessing capital markets by any order/ruling / judgment etc. passed by SEBI/ Statutory Authority or Courts in India and Foreign laws. I am/We are authorised to make this investment as per the Constitutive documents/ authorization(s). The amount invested in the Scheme(s) is through legitimate sources only and is not for the purpose of contravention and/or evasion of any act, rules, regulations, notifications or directions issued by any regulatory authority in India.
- (b) I / We will be bound by the Fund's terms and conditions as amended from time to time.
- (c) The information given by me / us in or along with this application form is true and correct and I / we shall furnish such other further / additional information as may be required by the Monarch Network Asset Management Private Limited ("AMC") / Fund. I / We under take to promptly inform the AMC / Fund / Registrars and Transfer Agent (RTA) in writing about any change in the information furnished by me /us from time to time.
- (d) I / We hereby authorize you to disclose, share, remit in any form / manner / mode the above information and / or any part of it including the changes / updates that may be provided by me / us to the Fund, its Sponsor(s), Trustees, Asset Management Company, its employees, agents and third party service providers, SEBI registered intermediaries for single updation / submission, any Indian or foreign statutory, regulatory, judicial, quasi-judicial authorities / agencies including but not limited to Financial Intelligence Unit-India (FIU-IND) etc. without any intimation / advice to me / us.
- (e) I / We hereby consent for providing transactions data feed, portfolio holdings, NAV etc. in respect of my/our transactions under Direct Plan to the RIA / Portfolio Managers / Stock Broker registered in the concerned folio, if applicable.
- (f) I / We shall be liable and responsible for any loss, claims suffered, directly or indirectly by AMC / Fund / RTA / SEBI Intermediaries, arising out of any false, misleading, inaccurate and incomplete information furnished by me / us at the time or investing / redeeming the units. I / We hereby unconditionally and irrevocably indemnify and at all times keep indemnified, save and harmless AMC / Fund / Trustee and their officers, directors and employees against all actions, proceedings, claims, losses, damages, charges and expenses incurred or suffered / paid by AMC / Fund in this regard and in case of any dispute regarding the eligibility, validity and authorization of my / our transactions.
- (g) The ARN holder (AMFI registered Distributor) has disclosed to me / us all the commissions (in the form of trail commission or any other mode), payable to him / them for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me / us.

(h) I / WE HEREBY CONFIRM THAT I / WE HAVE NOT BEEN OFFERED / COMMUNICATED ANY INDICATIVE PORTFOLIO AND / OR ANY INDICATIVE YIELD BY THE FUND / AMC / ITS DISTRIBUTOR FOR THIS INVESTMENT.

Consent for Telemarketing (Refer Instruction 15):

I / We hereby accord my/our consent to AMC / Fund for receiving the promotional information/ material via email, SMS, telemarketing calls etc. on the mobile number and email provided by me / us in this Application Form.

Consent for disclosure of Personal Information in terms of Privacy Policy

I / We hereby confirm to have read, understood and agree to the terms of Privacy Policy of AMC / Fund (available on <https://www.monarchamc.in>).

I / We hereby accord my / our consent to AMC / Fund for collecting, receiving, possessing, storing, dealing, handling or disclosure of my / our Personal Data and hereby authorize to disclose it to the third party or another body corporate or any person acting under a lawful contract with AMC / Fund, in accordance with the Privacy Policy.

For Foreign Nationals Resident in India only:

I / We will redeem my / our entire investment/s before I / We change my / our Indian residency status. I / We shall be fully liable for all consequences (including taxation) arising out of the failure to redeem on account of change in residential status

For NRIs/ PIO/ OCIs/ FPIs only:

I/We confirm that my application is in compliance with applicable Indian and foreign laws.

For NRIs/ PIO/OCIs Please ☒ Repatriation basis ☐ Non-repatriation basis

Signature(s) should be as it appears in the Folio / on the Application Form and in the same order. In case the mode of holding is joint, all Unit holders are required to sign.

Sign of 1st Applicant/Guardian / Authorised Signatory/POA	Sign of 2nd Applicant/ Authorised Signatory/POA	Sign of 3rd Applicant/ Authorised Signatory/POA
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MUTUAL FUND INVESTMENTS ARE SUBJECT TO MARKET RISKS, READ ALL SCHEME RELATED DOCUMENTS CAREFULLY.

Points to remember

Please ensure that:	Document	Individuals	NRIs/ PIO/ OCI	Minors	Companies / Body Corporates	Trust	Societies	HUF	Partnership Firms/ LLP	FPIs	Investments through Constituted Attorney
1. Your Application Form is complete in all respects & signed by all applicants.	Signed A/c Payee cheque favouring the scheme	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
2. Name, Address and Contact Details are mentioned in full. Email id & Mobile number should be provided along with the declaration whether it belongs to Self or a Family member.	Copy of cancelled cheque (Required where pay out bank details are different from the instrument bank)	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
3. Bank Account Details are entered completely and correctly. IFSC Code & 9 digit MICR Code of your Bank is mentioned in the Application Form	Resolution/Authorisation to invest				✓	✓	✓		✓	✓	
4. Permanent Account Number (PAN) Mandatory for all Investors (including guardians, joint holders, NRIs and POA holders) irrespective of the investment amount.	List of Authorised Signatories with Specimen Signature(s)				✓	✓	✓		✓	✓	
5. Know Your Client (KYC) Mandatory for irrespective of the amount of investment (please refer the guideline 4(e) for more information)	Memorandum & Articles of Association				✓						
	Trust Deed					✓					
	Bye-Laws						✓				
6. Your Investment Cheque is drawn in favour of <Scheme Name> dated and signed	Partnership Deed / Deed of Declaration							✓	✓		
	Proof of PAN & KYC/CKYC-KIN number (including for guardian)	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
7. Application Number is mentioned on the reverse of the cheque.	Foreign Inward Remittance Certificate		✓							✓	
8. A cancelled cheque leaf of your Bank is enclosed in case yourcheque, investment cheque is not from the bank account that you have furnished in the Application Form.	Date of Birth Certificate or School Living Certificate or Passport of Minor evidencing relationship with Guardian			✓							
	Declaration for Identification of Beneficial Ownership				✓	✓	✓	✓	✓	✓	✓
9. Documents as listed are submitted along with the Application form (as applicable to your specific case).	FATCA/CRS	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
	PIO/OCI (As applicable)		✓								

All documents for entities above should be originals/true copies certified by the Director /Trustee/Company Secretary /Authorised Signatory / Notary Public, as applicable.

GENERAL GUIDELINES FOR APPLICATION FORM

1. Please read the Scheme Information Document (SID), Statement of Additional Information (SAI) and Key Information Memorandum (KIM) and relevant addenda issued from time to time (Scheme Documents) carefully before investing including prevailing load structure on the date of submitting the Application Form. All applicants are deemed to have read, understood, accepted, agreed to and shall comply with the terms and conditions detailed in the Scheme Documents subject to which this offer is being made and bind themselves to the terms upon signing and submitting the Application Form and tendering payment.
2. The Application Form must be filled in English in BLOCK LETTERS using black or dark blue ink. Please tick in the appropriate box for relevant options wherever applicable. Incomplete applications are liable to be rejected.
3. Do not overwrite. Correction / cancellation of any information should be countersigned by the Applicant(s) against the changes. Please strike out any section which is not applicable.
4. The application serial number / folio number and the scheme name should be mentioned on the reverse side of the instrument that accompanies the Application.
5. All communications and payments shall be made to the first applicant only, irrespective of the holding basis.
6. The Application complete in all respect along with the Cheque / other payment instrument as permitted must be submitted to the nearest Investor Service Centre(s) (ISC) / Official Points of Acceptance (OPA) of KFIN or office of the AMC. List of OPA is available on the website of the Mutual Fund, www.monarchamc.in.
7. Any subsequent change in static information like bank details, IDCW sub option etc. (as may be applicable under the Scheme) would be based on written communication from investors. These changes will be effected within 10 days of the valid signed request reaching the office of the Registrar and any interim financial transactions will be effected with last / registered details only.
8. Allotment of Units are subject to realization of payment instrument and no financial transactions i.e. redemption / switch etc. will be effected till allotment.
9. Investors should mandatorily use the Application Form / Transaction Form / Systematic Transaction Form (if applicable under the Scheme) and other standard forms available at the ISCs or at our website www.monarchamc.in, for any financial / non-financial transactions. Any transaction received in any non-standard Form, is liable to be rejected. New investors wishing to make SIP investment will need to complete and submit both the Application Form and the SIP Enrolment Form.

INSTRUCTIONS FOR APPLICATION FORM

1. DISTRIBUTOR INFORMATION

- a. Commission (if any) shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.
- b. Please mention "DIRECT" in case the application is not routed through any distributor.
- c. Mutual Funds have created a unique identity number of the employee/ relationship manager/ sales person of the distributor interacting with the investor for the sale of mutual fund products, in addition to the AMFI Registration Number (ARN) of the distributor. This Employee Unique Identification Number is referred as "EUIN". EUIN aims to assist in tackling the problem of mis-selling even if the employee/relationship manager/sales person leaves the employment of the distributor or his/her sub broker. Quoting of EUIN is mandatory in case of advisory transactions.
- d. Distributors are advised to ensure that the sub broker affixes his/her ARN code in the column 'Sub broker ARN code' separately provided, in addition to the current practice of affixing the internal code issued by the main ARN holder in the "Sub broker code (as allotted by ARN holder)" column and the EUIN of the Sales Person (if any) in the "EUIN" column.
- e. Distributors are advised to ensure that they fill the EUIN of RIA/PMRN code, in case they are a Registered Investment Advisor / Portfolio Manager.
- f. Investors are requested to note that EUIN is applicable for transactions such as Purchases, Switches, Registrations of SIP/STP and EUIN is not applicable for transactions such as instalments under SIP/STP/SWP, Redemption, SWP Registration.
- g. Investors are requested to note that EUIN is legally applicable to sales persons of non-individual ARN holders only, whether acting in the capacity of the main distributor or sub broker. Further, EUIN will not be applicable for overseas distributors who comply with the requirements as per AMFI circular.

2. MODE OF HOLDING

"Please select the mode of holding, if option left blank then default option either or survivor will be considered.

3. EXISTING INVESTORS OF MONARCH MUTUAL FUND

If you are an existing investor please mention your existing folio number, so that the unit will be allotted in the same folio. If it is blank, then new folio number will be generated.

4. SOLE/FIRST APPLICANT'S DETAILS

- a. i. Please furnish names of all applicants. The name of the Sole /First Applicant should be mentioned in the same manner in which it appears in the Income Tax PAN card. Please note the following:
 - ii. In case the applicant is a Non Individual Investor (including HUF), then Legal Entity Identifier (LEI) Number is mandatory to be mentioned in the space provided. As per the RBI circular No. RBI/2020-21/82 - DPSS.CO.OD No.901/06.24.001/2020-21 dated January 05,2021, it is mandatory for all Non-individuals to obtain Legal Entity Identifier (LEI) and quote the same for any transactions beyond Rs. 50 crore routed through RTGS/NEFT w.e.f 1st April '21.
 - iii. Who cannot invest?
 1. United States Person (U.S. person*) as defined under the extant laws of the United States of America,
 2. Residents of Canada;
 3. Investor residing in any Financial Action Task Force (FATF) designated High Risk jurisdiction.
- "The term "U.S. person" means any person that is a U.S. person within the meaning of Regulations under the Securities Act of 1933 of U.S. or as defined by the U.S. Commodity Futures Trading Commission or as per such further amended definitions, interpretations, legislation, rules etc. as may be in force from time to time.
- iv. Foreign Portfolio Investors (FPIs) may invest in mutual fund units as per the regulations /laws applicable to them from time to time. As per the extant regulations, FPIs must hold and deal in securities only in demat mode and only through SEBI registered stock brokers.
- b. In case the application is being made on behalf of a minor, he / she shall be the Sole Holder/Beneficiary. There shall be no joint account with a minor unit holder. Please indicate the tax status of the sole/1 applicant at the time of investment. The abbreviations used in this section are: NRI: Non-Resident Indian Individual, PIO: Person of Indian Origin, FIL: Foreign Institutional Investor, NGO: Non Government Organization, AOP: Association of Persons, BOI: Body of Individuals, HUF: Hindu Undivided Family.
- c. In case of minor applicant, the minor shall be the first and the sole holder in the folio. Joint holding is not allowed. It is mandatory to provide the minor's date of birth, the name of the Guardian and the relationship with minor in the space provided. One of the following supporting documents should be provided to substantiate the date of birth and the relationship with Guardian i.e. birth certificate of the minor, school leaving certificate / mark sheet issued by Higher Secondary Boards of respective states, ICSE, CBSE etc., Passport of the minor or any other suitable proof evidencing the date of birth of the minor. In case of court appointed legal guardian, supporting documentary evidence should be provided. Prior to minor attaining majority, the Mutual Fund shall send an advance notice to the registered correspondence address advising the guardian and the minor to submit "Form for minor attaining majority"

available on our website www.monarchamc.in along with prescribed documents to change the status of the folio to "Major". The folio shall be frozen for operation by the guardian on the day the minor attains the age of majority and the Guardian will not be able to undertake any financial and non-financial transactions including fresh registration of Systematic Transfer Plan (STP), Systematic Investment Plan (SIP) and Systematic Withdrawal Plan (SWP) (if applicable under the Scheme) after the date of the minor attaining majority till the time the above application form along with the prescribed documents are received by the Mutual Fund. The standing instructions like SIP, STP, SWP registered prior to the minor attaining majority will be suspended when the minor attains majority, till the status is changed to major.

For existing folios, in case the pay-out bank mandate is not held solely by minor or Pay-out Bank mandate request before providing redemption request. Upon the minor attaining the status of major, the minor in whose name the investment was made, shall be required to provide all the KYC/ FATCA details, updated bank account details including cancelled original cheque leaf of the new account and his / her specimen signature duly authenticated by banker / guardian. Irrespective of the source of payment for subscription, all redemption / Income Distribution cum Capita Withdrawal (IDCW) proceeds shall be credited only in the verified bank account of the minor, i.e. the account the minor may hold with the parent/ legal guardian after completing all KYC formalities.

d. Politically Exposed Person (PEP)*

- *PEP are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/ judicial/ military officers, senior executives of state owned corporations, important political party officials, etc.
- Domestic PEPs: Individuals who are or have been entrusted domestically with prominent public functions within India, for example Heads of State or of Governments, senior government, judicial or military officials, senior executives of state-owned corporations.
- Family members are individuals who are related to PEP either directly or through marriage or similar forms of partnership.

e. PAN

Please furnish the PAN and KYC details of each applicant / unit holder, including the guardian and / or Power of Attorney (POA) holder as explained in the paragraphs below.

It is mandatory for all investors to quote their PAN and submit self-certified copy of the PAN card issued by the Income Tax Department, irrespective of the amount of investment, while making an application for purchase of units of the scheme. Investors will be required to submit the original PAN card for verification, wherever applicable. In case of joint holding, PAN details of all holders should be submitted. In case the application is on behalf of a minor, PAN details of the guardian must be submitted. PAN is not mandatory in the case of Central Government, State Government entities and the officials appointed by the courts e.g. Official liquidator, Court receiver etc. (under the category of Government) for transacting in the securities market. PAN card copy is not required separately if KYC acknowledgement letter is made available with PAN number. The Fund reserves the right to ascertain the status of such entities with adequate supporting documents. Applications not complying with the above requirement may not be accepted / processed. For further details, please refer Section 'Permanent Account Number' under Statement of Additional Information (SAI) available on our website www.monarchamc.in.

PAN Exempt Investments:

Investments in the mutual fund schemes including investments through Systematic Investment Plan (SIP) of up to Rs.50,000/- (Rupees Fifty Thousand) per year per investor across all schemes of the Fund shall be exempted from requirement of PAN. Accordingly, individuals (including Joint Holders who are individuals, NRIs but not PIOs, Minors) and Sole proprietary firms who do not possess a PAN ("Eligible Investors")* are exempt from submission of PAN for investments up to Rs.50,000 in a rolling 12 month period or in a financial year i.e. April to March. However, Eligible Investors are required to undergo Know Your Customer (KYC) procedure with any of the SEBI registered KYC Registration Authorities (KRA). Eligible Investors must quote PAN Exempt KYC Reference Number (PEKRN) issued by the KRA under the KYC acknowledgement letter in the application form and submit a copy thereof along with the application form. In case the applicant is a minor, PAN / PEKRN details of the Guardian shall be submitted, as applicable. Eligible Investors (i.e. the First Holder) must not possess a PAN at the time of submission of application form. Eligible investors must hold only one PEKRN issued by any one of the KRAs.

If an application for investment together within investments made in a rolling 12 month period or in a financial year exceeds Rs.50,000/-, such an application will be rejected. Fresh / Additional Purchase and Systematic Investment Plans will be covered in the limit of Rs.50,000/-. Investors may switch their investments to other Schemes. However, if the amount per switch transaction is Rs.50,000/- or more, in accordance with the extant Income Tax rules, investors will be required to furnish a copy of PAN to the Mutual Fund.

The detailed procedures / requirements for accepting applications shall be as specified by the AMC/Trustee from time to time and their decision in this behalf will be final and binding. [^]HUFs and other categories are not eligible for such investments. Investors residing in the state of Sikkim are also exempted from the mandatory requirement of PAN proof submission; however sufficient documents shall have to be submitted, in accordance with the process as per the KYC guidelines for verifying that they are residents of the State of Sikkim i.e. a) Proof of address of Sikkim state and application form should mention the same address. b) Address proof shall be self-attested by the investor / attested by the ARN holder mentioning the ARN number or attested by any competent authority

• PREVENTION OF MONEY LAUNDERING

In accordance with requirements under the Prevention of Money Laundering Act, 2002, (PMLA) the Rules issued thereunder and the guidelines and circulars on Anti-Money Laundering issued by SEBI, (collectively "AML Regulations"), mutual funds are required to formulate and implement Client Identification Programmed to verify and maintain the record of identity and address(es) of investors. This is commonly referred to as 'Know Your Client' guidelines (KYC). The Investor(s) should ensure that the amount invested in the Scheme is through legitimate sources only and does not involve and is not designated for the purpose of any contravention or evasion of the provisions of the Income Tax Act, Prevention of Money Laundering Act (PMLA), Prevention of Corruption Act and / or any other applicable law in force and also any laws enacted by the Government of India from time to time or any rules, regulations, notifications or directions issued thereunder.

To ensure appropriate identification of the Investor(s) and with a view to monitor transactions for the prevention of money laundering, the AMC / Fund reserves the right to seek information, record investor's telephonic calls and or obtain and retain documentation for establishing the identity of the Investor(s), their beneficial ownership, proof of residence, source of funds, etc. It may re-verify identity and obtain any incomplete or additional information for this purpose. The Fund, AMC, Trustee and their Directors, employees and agents shall not be liable in any manner for any claims arising whatsoever on account of freezing the folios/rejection of any application / allotment of Units or mandatory redemption of Units due to non-compliance with the provisions of the PMLA, SEBI/AMFI circular(s) and KYC policy and / or where the AMC believes that transaction is suspicious in nature within the purview of the PMLA and SEBI/AMFI circular(s) and report the same to FIU-IND.

For further details, please refer Section 'Prevention of Money Laundering' under the under Statement of Additional Information (SAI) available on our website www.monarchamc.in.

• KNOW YOUR CUSTOMER (KYC) COMPLIANCE

- a. KYC registered under KYC Registration Agency (KRA): Units held in account statement(non-demat) form It is mandatory for all categories of the Investors to quote the KYC Compliance Status of each applicant (including guardian in case of minor, issuer of Power of Attorney (PoA) and holder of PoA) in the application and attach proof of KYC Compliance viz. KYC Acknowledgement Letter at the time of investment.

New / Prospective Investors are requested to use the common KYC Application Form and carry out the KYC process including In-Person Verification (IPV) with any SEBI registered intermediaries including mutual funds. The KYC Application Forms are available on the website www.monarchamc.in.

The Mutual Fund shall perform the initial KYC of its new investors and may also undertake enhanced KYC measures commensurate with the risk profile of its investors in line with the aforesaid circulars / Prevention of Money Laundering Act, 2002, and circulars thereto. The Mutual Fund shall upload the details of the investors on the system of the KYC Registration Agency (KRA). Registrar & Transfer Agent (RTA) of the Mutual Fund may also undertake the KYC of the investors on behalf of the Mutual Fund. On receipt of initial / updated KYC documents from the Mutual Fund, the KRA shall send a letter to the investor within SEBI prescribed timelines, confirming the details thereof. It is mandatory for intermediaries including mutual funds to carry out In-Person Verification (IPV) of its new investors from the Effective Date. The IPV carried out by any SEBI registered intermediary can be relied upon by the Mutual Fund. The AMC and National Institute of Securities Markets (NISM) / Association of Mutual Funds in India (AMFI) certified distributors who are Know Your Distributor (KYD) compliant are authorized to undertake the IPV for Mutual Fund investors. Further, in case of any applications received directly (i.e. without being routed through the distributors) from the investors, the Mutual Fund may rely upon the IPV (on the KYC Application Form) performed by the scheduled commercial banks.

For cases where the KYC status is 'Under Process' / 'In-Progress', AMC may accept the subscription/switch transactions and process the same, but keep the units 'on hold' from any further transactions till KYC status is 'Verified'. Once the investor has done KYC with any SEBI registered intermediary, the investor need not undergo the same process again with the Fund.

- b. Central KYC Records Registry (CKYCR): The Government of India vide their Notification dated November 26, 2015 authorized the Central Registry of Securitization and Asset Reconstruction and Security interest of India(CERSAI), to act as, and to perform the functions of, the Central KYC (CKYC) Records Registry under the PML Rules 2005, including receiving, storing, safeguarding and retrieving the KYC records in digital form of a client, as defined in the Prevention of Money Laundering Act, 2002. Every reporting entity is required to capture the KYC information for sharing with the Central KYC Records Registry (CKYCR). Accordingly, the investors shall be required to provide requisite KYC information / documents as prescribed by CERSAI and the AMC from time to time. Investors who have already completed CKYC and have a 14-digit KYC Identification Number (KIN) can invest in the Mutual Fund by quoting the KIN and by submitting a self-certified copy of PAN and by completing In-Person Verification (IPV) as mentioned above. Further, the Mutual Fund / AMC is required to check whether the PAN of the investor has been updated in CKYCR. In case the PAN has not been updated, the Mutual Fund / AMC shall upload the same in CKYCR.

AMC reserves the right to reject application forms for transactions in units of the Mutual Fund not accompanied by common KYC Application Form or letter / acknowledgement issued by the KRA / KIN issued by CERSAI. The KYC compliance status of the investors will be validated with the records of the KRA / CERSAI.

For further details, please refer to the Section on "Know Your Client ("KYC") requirements" in the Statement of Additional Information (SAI) available on our website www.monarchamc.in.

c. Contact Information

- Please furnish the full postal address of the Sole/ First Applicant with PIN/Postal Code and complete contact details. (P.O. Box address is not sufficient).
- As per SEBI letter SEBI/HO/IMD/DOF4/OW/P/2018/0000019378/1 dated July 9, 2018 and AMFI Best Practice Guidelines Circular No. 77/2018-19 the first/sole holder's own email address and mobile number should be provided for speed and ease of communication in a convenient and cost-effective manner, and to help prevent fraudulent transactions. Individual investors must declare whether the primary email address and mobile number being provided belongs to Self or a Family member and tick the relevant code in the application form. The email id/ contact details mentioned on the application form should be the same as the ones provided in the KRA. If found different, the details mentioned on KRA records will be updated in the folio. Investor will need to update the email id/mobile number with the KRA in case of any change.□
- Please note that all communication i.e. Account statement, Annual Report, News Letters will be sent via e-mail, if the e-mail id of the investor is provided in the application form. The Account statement will be encrypted with a password before sending the same to the registered email id. Should the unitholder face any difficulty in accessing/opening the Account Statements/documents sent via email, the unitholder may call/write to the AMC/Registrar and ask for a physical copy.
- Overseas address is mandatory for NRI/FII investors.

5. BANK ACCOUNT DETAILS

1. BANK ACCOUNT DETAILS (FOR REDEMPTION / IDCW)

An investor at the time of purchase of units must provide the details of the pay-out bank account (i.e. account into which redemption / IDCW proceeds are to be paid) in Section 12 in the Application Form. The same is mandated to be provided under SEBI Regulations. In case pay-out bank account is different from pay-in bank account mentioned under Section 11 in the Application Form, the investor subscribing under a new folio is required to submit any one of following as a documentary proof along with the application form validating that pay-out bank account pertains to the sole / first Applicant. In case of folios held on behalf of a minor, the payout bank account should be held in the name of the minor or minor with guardian in the folio. Once the bank account is registered in the folio, it can be used for both pay-out and pay-in purposes.

- i. Cancelled original cheque leaf of the pay-out bank account (where the account number and first applicant name is printed on the face of the cheque). Applicants should without fail cancel the cheque and write 'Cancelled' on the face of it to prevent any possible misuse;
 - ii. Self attested copy of the bank pass book or a statement of bank account with current entries not older than 3 months having the name and address of the first applicant and account number;
 - iii. A letter from the bank on its letterhead certifying that the applicant maintains an account with the bank, the bank account information like bank account number, bank branch, account type, the MICR code of the branch & IFSC Code (where available).
- Note: The above documents shall be submitted in Original. If copies are furnished, the same must be submitted at the Official Point of Acceptance (OPAs) of the Fund where they will be verified with the original documents to the satisfaction of the Fund. The original documents will be returned across the counter to the applicant after due verification. In case the original of any document is not produced for verification, then the copies should be attested by the bank manager with his / her full signature name, employee code, bank seal and contact number. Investors are requested to note that applications for new folio creation submitted (wherein pay-out bank details is different from pay-in bank details) without any of the above mentioned documents relating to pay-out bank account details will be treated as invalid and liable to be rejected. Payment for investment shall be accepted from the bank account of the minor, parent or legal guardian of the minor or from a joint account of the minor with the parent or legal guardian.

2. Multiple Bank Accounts Registration Facility: An investor may register multiple bank accounts (currently upto 5 for Individuals and 10 for Non – Individuals) for receiving redemption / IDCW proceeds etc. by providing necessary documents and filing up of 'Multiple Bank Accounts Registration' form available at our Investor Service Centres (ISCs).

Where additional documents are not provided for the verification of bank account mentioned in the application form, the AMC reserves the right to consider the bank account used towards subscription payment as the registered bank account for the purpose of effecting payouts such as redemptions and dividends.

Proceeds of any redemption request will be sent only to a bank account that is already registered in the folio at the time of redemption transaction processing. Unit holder(s) may choose to mention any of the existing registered bank accounts with redemption request for receiving redemption proceeds. If no registered bank account is mentioned, default bank account will be used. If redemption request is received together with a change of bank account (unregistered new bank account) or before verification and validation of the new bank account, the AMC reserves the right to process the redemption request to the currently registered default old bank account.

6. INVESTMENT & PAYMENT DETAILS

1. Investors subscribing under Direct Plan will have to select "Direct Plan" in the application form. Investors should also indicate "Direct" in the ARN column of the application form for opting for Direct Plan. Investors purchasing / subscribing units in the Scheme through a distributor are requested not to select "Direct Plan" in the application form but select Regular Plan.

GENERAL GUIDELINES FOR APPLICATION FORM

In case the investor does not select the desired Plan properly and clearly and in case of incomplete details, lack of clarity or ambiguity, the default Plan will be considered and applied.

Scenario	Broker Code mentioned by the	Plan mentioned by	Default Plan to be
1	Not mentioned	Not mentioned	Direct Plan
2	Not mentioned	Direct	Direct Plan
3	Not mentioned	Regular	Direct Plan
4	Mentioned	Direct	Direct Plan
8	Direct	Not Mentioned	Direct Plan
6	Direct	Regular	Direct Plan
7	Mentioned	Regular	Regular Plan
8	Mentioned	Not Mentioned	Regular Plan

In cases of wrong/ invalid / incomplete / un-empaneled ARN codes mentioned on the application form, the application shall be processed under Direct Plan

- Investors / Applicants should clearly indicate the desired Option/ Facility /Frequency (as may be applicable under the Scheme) in the space provided in the Application Form. In case investor wishes to opt for multiple options (as may be applicable under the Scheme), separate application form will have to be filled.
- In case the investor does not fill the desired Option / Facility / Frequency properly and clearly and in case of incomplete details, lack of clarity or ambiguity, the default option / facility / frequency will be considered and applied

Options/ Facility/ Frequency	Default Option/ Facility/ Frequency
Growth / IDCW	Growth
Payout of IDCW / Reinvestment of IDCW / Transfer of IDCW	Reinvestment of IDCW

- The amounts can be distributed out of investors capital (Equalization Reserve), which is part of sale price that represents realized gains.
- The following modes of payments are not valid and applications accompanied by such payments are liable to be rejected, except in case of any specific facility offered by the AMC which permits otherwise: i) Multiple cheques with single application (ii) Single cheque with multiple applications (iii) outstation cheque/demand draft (iv) cash / money order / postal order (v) Third party payments (except in certain cases) (vi) Pre-funded instruments such as demand draft, pay order etc.
- The cheque or other payment instrument as permitted should be payable locally at the centre where the application is submitted and should be drawn on any bank that is a member of the Bankers' Clearing House.
- Dishonoured cheques are liable not to be presented again for collection and the accompanying application forms are liable to be rejected.
- The subscription payment instrument (s) should be drawn in favour of the Scheme Name except in case of any specific facility offered by the AMC where the instructions to that facility prescribe a different requirement.

- Non-acceptance of "Third Party Payment" instruments for subscriptions/ investments

- Third Party Payment Instruments" means a payment made through an instrument issued from an account other than that of the beneficiary investor. Applications to scheme of Monarch Mutual Fund accompanied by a Third Party Payment Instrument shall not be accepted by the AMC except in the following cases:- Custodian making investments on behalf of an FPI or a Client. The AMC reserves the right to exercise extra due diligence in terms of ensuring the authenticity of the above arrangements from a fraud prevention perspective and ensuring compliance with the provisions of PMLA regarding prevention of money laundering etc.

- In case of payments from a joint bank account, the sole /first holder of the Mutual Fund folio should be one of the joint holders of the bank account from which payment is made. Therefore, it is important for investors to mention the bank account number, bank name & branch address from where the payment is issued and the same should match with details on payment cheque / payment instrument). Where the payment instrument / advice does not mention the bank account holder's names, investors should attach bank pass book /bank statement/ bank letter to substantiate that the first unit holder is one of the joint holders of the bank account.

- The AMC/Mutual Fund/RTA will not accept any subscription/ purchase application from Investors if accompanied by a pre-funded instrument (demand draft, pay order etc.) under any scheme of Monarch Mutual Fund.

- In case of subscriptions payment through RTGS, NEFT, NECS, bank transfer etc. investor is required to provide an acknowledgement copy of the instruction which has been provided to the bank indicating the account number and the debit instructions. The bank details mentioned on the instruction letter should be registered bank account or the first applicant / unit holder should be one of the account holders of the bank account.

- For payments through net banking and debit cards / transactions through website, the AMC shall endeavour to obtain the details of the bank account debited website, the AMC shall endeavour to obtain the details of the bank account debited from the payment gateway service provider and match the same with the registered pay-in accounts. In case it is found that the payment is not made from a registered bank account or from an account not belonging to the first named unit holder, the AMC / RTA reserves the right to reject the transaction with due intimation to the investor.

- The AMC reserves the right to reject the transaction or call for additional details, if pay-in bank account and other details are not mentioned on the form and / or do not match with payment instrument and/or necessary documents and declaration, as applicable to respective investors and transactions, are not attached or are insufficient.

- NRIs, PIOs, OCIs, FPI

- In the case of NRIs / PIOs / OCIs, payment may be made either by inward remittance through normal banking channels or out of funds held in the NRE / FCNR in the case of Purchases on a repatriation basis or out of funds held in the NRE / FCNR / NRO account, in the case of Purchases on a non-repatriation basis
- FPIs shall pay their subscription either by inward remittance through normal banking channels or out of funds held in Foreign Currency Account or Special Non-Resident Rupee Account maintained by the FPI with a designated branch of an authorised dealer.

- In case, the Indian rupee drafts are purchased abroad or payment instrument does not indicate the type of account viz. FCNR or NRE accounts from which the same is issued, an account debit certificate from the Bank issuing the Indian rupee draft confirming the debit and/or foreign inward remittance certificate (FIRC) by investor's banker, as the

The Trustee/AMC, at its discretion, may choose from time to time to alter or add other modes of payment. For more details please refer to the SID.

SYSTEMATIC INVESTMENT PLAN (SIP) AND OTHER FACILITIES# (#Applicable once scheme Re-opens for ongoing subscription)

- Investors are requested to refer to the SID/ KIM for minimum application amount applicable for SIP investment.
- Investors can choose any preferred date of the month as SIP debit date. In case the chosen SIP date falls on a non-business day or a date which is not available in a particular month. The SIP will be processed on the immediate next business day.
- Units will be allotted on the applicable dates. In case the date falls on a nonbusiness day, the immediate next business day will be considered for the purpose of determining the applicability of NAV.
- The SIPs by an investor where the aggregate of instalment value does not exceed Rs.50,000/- per year (in a rolling year) shall be exempted from PAN requirement. However, in lieu of PAN, Investor (including joint holders) has to submit any one of the photo identification documents along with the application (Refer Section C). This exemption will be applicable only for investment by individuals (including NRIs but not PIOs), minors and sole proprietary firms. HUFs and other categories will not be eligible for this exemption. Please refer Section C for details relating to exemption from PAN.
- The SIP enrolment will be discontinued in cases where three consecutive SIP instalments are not honoured.
- Unit holders will have the right to discontinue the SIP facility at any time by sending a written request to the Customer Service Centre. Notice of such discontinuance should be received at least 10 days prior to the due date of the next SIP instalment. On receipt of such request, the SIP facility will be terminated.

SIP through debit facility

- In case the investor wishes to opt for SIP payments through auto debit facility, please indicate the preference in the box provided for the purpose in the application form and fill in the "SYSTEMATIC INVESTMENT PLAN (SIP) - AUTO DEBIT FORM".
- The 'Mandate Instruction for Auto Debit' in the SIP Auto Debit Form needs to be filled in and signed by the bank account holders in the same order and manner in which the bank account is held by them.
- The SIP shall commence after 25 calendar days in case of registration via new OTM (One Time Mandate). In case OTM is already registered, SIP shall commence by 10 calendar days.
- Investors will not hold Monarch Mutual Fund or its registrar and other service providers responsible if the transaction is delayed or not effected or the investor's bank account is debited in advance or after the specific SIP date due to various clearing cycles of NACH Debit / Auto Debit / ECS and the investor assumes the entire risk of using this facility and takes full responsibility for the same.

SIP transactions in dematerialised (demat) mode

In case of SIP transactions in demat mode, the units will be allotted based on applicable Net Asset Value (NAV) as per the SID of the scheme and will be credited to the investor's Demat (Beneficiary) Account on a weekly basis upon realization off funds. For example, units will be credited to investor's Demat (Beneficiary) Account every Monday (or next business day, if Monday is a non-business day) for realization status received in the previous week from Monday to Friday. Please refer to the Scheme Information Document (SID) of the scheme for complete details.

7. UNIT HOLDING OPTION (Demat / Non - Demat Mode)

For units held in demat form, the KYC performed by the Depository Participant (DP) of the applicants will be considered as KYC verification done by the Trustee / AMC. In the event of non-compliance of KYC requirements, the Trustee / AMC reserves the right to freeze the folio of the investor (s) and effect mandatory redemption of unit holdings of the investors at the applicable NAV, subject to payment of exit load, if any.

8. FOREIGN ACCOUNT TAX COMPLIANCE (FATCA) FATCA & CRS TERMS CONDITIONS

BENEFICIAL OWNERSHIP DETAILS (UBO):

Pursuant to SEBI Master Circular on Anti Money Laundering (AML) Standards/Combating the Financing of Terrorism (CFT)/ Obligations of Securities Market Intermediaries under the Prevention of Money Laundering Act, 2002' dated December 31, 2010 as amended from time to time, Guidelines on Identification of Beneficial Ownership issued by SEBI vide its Circular No. CIR/MIRSD/2/2013 dated January 24, 2013 and other circulars issued from time to time, investors (other than Individuals) are required to provide details of 'Ultimate Beneficial Owner(s) (UBO(s))'. In case the investor or owner of the controlling interest is a company listed on a stock exchange or is a majority owned subsidiary of such a company, the details of shareholders or beneficial owners are not required to be provided. Non-individual applicants/investors are mandated to provide the details on 'Ultimate Beneficial Owner(s) (UBO(s))' by filling up the declaration form for 'Ultimate Beneficial Ownership'. Please refer our website www.monarchamc.in for the declaration Form. In the event of change in beneficial ownership, investors are requested to immediately update the details with the Fund/Registrar.

INSTRUCTIONS FOR APPLICATION FORM (Cont'd.)

Non-Profit Organization:

As per new PML amendment, every Banking Company or Financial Institution or intermediary has to ensure that the Non-Profit Organizations (NPO) gets registered on the DARPAN Portal, if not already registered. New accounts / folios will not be created for NPOs by the Mutual Funds, without such registration. Visit NGO Darpan website - <https://ngodarpan.gov.in/> for DARPAN registration.

9. NOMINATION DETAILS

- For opening a new demat account / MF folio, nomination is mandatory. Otherwise, provide the declaration in prescribed format for Opt-out.
- The nomination is mandatory for individuals applying for / holding units on their own behalf singly or jointly.
- Nomination can be made or changed any number of times without any restriction.
- Acknowledgement will be provided from the AMC / DP for each instance of providing or changing nomination.
- Non-individuals including a Society, Trust, Body Corporate, Partnership Firm, Karta of Hindu Undivided family, a Power of Attorney holder and/or Guardian of Minor unit holder cannot nominate.
- Nomination is not allowed in a folio where Minor is the unit holder.
- The signatories for this nomination form in joint folios / account, shall be the same as that of your joint MF folio / demat account. i.e.
 - Either or Survivor Folios / Accounts - All holders have to sign.
 - 'First holder Folios / Accounts - only First Holder can sign.
 - 'Jointly' Folios / Accounts - All holders have to sign
- A minor may be nominated. In that event, the name and address of the Guardian of the minor nominee is to be provided optionally.
- Nomination can also be in favour of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust.
- The Nominee shall not be a trust (other than a religious or charitable trust), society, body corporate, partnership firm, Karta of Hindu Undivided Family, or a Power of Attorney holder.
- A Non-Resident Indian may be nominated subject to the applicable exchange control regulations.
- Multiple Nominees: Nomination can be made in favour of multiple nominees, subject to a maximum of three nominees. In case of multiple nominees, the percentage of the allocation / share should be in whole numbers without any decimals, adding up to a total of 100%. If the percentage of allocation / share for each of the nominee is not mentioned, the allocation / claim settlement shall be made equally amongst all the nominees. Any odd lot after division shall be assigned / transferred to the first nominee mentioned in the form.
- In case of demise of the investor and any one of the nominees, the regulated entities shall distribute the assets pro-rata to the remaining nominees
- Every new nomination for a folio / account shall overwrite the existing nomination, if any.
- Nomination made by a unit holder shall be applicable for units held in all the schemes under the respective folio / account.
- Nomination shall stand rescinded upon the transfer of units.
- Transmission of units in favour of a Nominee shall be valid discharge by the asset management company/ Mutual Fund / Trustees against the legal heir(s).
- The nomination will be registered only when this form is completed in all respects to the satisfaction of the AMC.
- In respect of folios/accounts where the Nomination has been registered, the AMC will not entertain any request for transmission / claim settlement from any person other than the registered nominee(s), unless so directed by any competent court.

Event	Transmission of Account/ Folio to
Demise of one or more joint holder (s)	Surviving holder(s) through name deletion. The surviving holder(s) shall inherit the assets as owners
Demise of all joint holders simultaneously - having nominee	Nominee
Demise of all joint holders simultaneously - not having nominee	Legal heir(s) of the youngest holder

Transmission aspects

- Upon demise of the investor, the nominees shall have the option to either continue as joint holders with other nominees or for each nominee(s) to open separate single account/ folio.
- In case all your nominees do not claim the assets from the AMC / DP, then the residual unclaimed asset shall continue to be with the AMC in case of MF units and with the concerned Depository in case of Demat account
- Nominee(s) shall extend all possible co-operation to transfer the assets to the legal heir(s) of the deceased investor. In this regard, no dispute shall lie against the AMC / DP.
- Death of Nominee/s: In the event of the nominee(s) pre-deceasing the unit holder(s), the unit holder/s is/are advised to make a fresh nomination soon after the demise of the nominee. The nomination will automatically stand cancelled in the event of the nominee(s) pre-deceasing the unit holder(s). In case of multiple nominations, if any of the nominee is deceased at the time of death claim settlement, the said nominee's share will be distributed on pro-rata basis amongst the surviving nominees. Nominee's legal heir cannot claim the assets on behalf of deceased Nominee(s).
- The instructions for STP and SWP are appearing after the instructions for declarations and signatures.

10. DECLARATION AND SIGNATURE(S)

- Signature(s) should be in English or in any of the Indian languages specified in the eighth schedule of the Constitution of India.
- Thumb impressions (left hand for male and right hand for female) and signatures in languages not specified in the Eighth Schedule of the Constitution of India should be attested by a Magistrate or a Notary public or a Special Executive Magistrate under his/her official seal.
- Applications by minors should be signed by their guardians.
- In case of an HUF, the Karta should sign on behalf of the HUF.
- If the application form is signed by a Power of Attorney (POA) holder, the form should be accompanied by a not arised photocopy of the PoA. Alternatively, the original PoA may be submitted with the application, which will be returned after verification. The PoA document must contain the signatures of both the applicant and the constituted Attorney. If the PoA is not submitted with the application, the application form will be rejected.

- In case of non-individual investors, a list of authorised signatories should be submitted along with application form or in case of any change in the authorised signatories list, the AMC/ Registrar must be notified within 7 days.
- Investors are requested to read the undertakings / declarations carefully, before providing their signature(s) in the application form.

11. GO GREEN INITIATIVE IN MUTUAL FUNDS

- With respect to the recent directives issued by SEBI via Gazette Notification SEBI/LAD-NRO/ GN/2018/14 & Circular SEBI / HO / IMD / DF2 / CIR / P/2018/92 regarding Go Green Initiative in Mutual Funds regarding disclosing and providing information to investors through digital platform as a green initiative measure.
- In line with above initiative, Monarch Mutual Fund has adopted 'Go Green Initiative for Mutual Funds' and accordingly, the scheme Annual Reports /Abridged Summary will be hosted on our website www.monarchamc.in in downloadable format. Further, wherever email ids are registered in our records, the scheme Annual Reports / Abridged Summary will be sent via email.
- If you do not opt-in to receive a physical copy of the scheme Annual Report/Abridged Summary, you can view the same on our website or alternatively contact our registered office to get a physical copy of the Annual Report/Abridged Summary

12. LEVY OF STAMP DUTY ON APPLICABLE MUTUAL FUND TRANSACTION

Investors/ Unit holders of all Schemes of Monarch Mutual Fund are requested to note that, pursuant to Part I of Chapter IV of the Notification dated February 21, 2019, issued by the Legislative Department, Ministry of Law and Justice, Government of India, on the Finance Act, 2019, read with subsequent notifications including Notification dated March 30, 2020 issued by Department of Revenue, Ministry of Finance, Government of India, a stamp duty at the rate of 0.005% of the transaction value would be levied on applicable mutual fund investment transactions such as purchases (including switch-in, Reinvestment of Income Distribution cum Capital Withdrawal) with effect from July 1, 2020. For further details in relation to levy of stamp duty, investors are requested to refer the SID of respective scheme.

13. SYSTEMATIC TRANSFER PLAN (STP)#

(#Applicable once scheme Re-opens for ongoing subscription)

- A minimum period of 8 days shall be required for registration under STP. In case the required time of 8 calendar days are not met, then the STP will be processed from the next STP cycle.
- The STP Frequencies available are as follows:

Frequency	Cycle Day / Date*	Default Day / Date	Minimum Instalment Amount (in	Instalments
Daily	Daily (only business day)	Not applicable	₹ 1000 & in multiples of ₹ 1/- thereafter	6
Weekly	Monday to Friday	Wednesday	₹ 1000 & in multiples of ₹ 1/- thereafter	6
Fortnightly	7 th & 21 st of the Month	7 th & 21 st of the Month	₹ 1000 & in multiples of ₹ 1/- thereafter	6
Monthly	Any date except 29 th , 30 th & 31 st of the Month	8 th of the Month	₹ 1000 & in multiples of ₹ 1/- thereafter	6
Quarterly	Any date except 29 th , 30 th & 31 st of the Month	8 th of the Month	₹ 1000 & in multiples of ₹ 1/- thereafter	6

*In case any of these days fall on a non-business day, the transaction will be effected on the next business day of the Scheme

- Units marked under Lien, Pledge or Lock-in Period in the Transferor scheme will not be eligible for STP.
- An investor has to clearly specify the name & the option of the Transferor & Transferee scheme in the enrolment form. If name of the Transferor or Transferee Scheme is not stated or in case of any ambiguity, STP enrolment request shall be liable to get rejected. In the absence of information, the default option for transferee scheme shall be growth option. All valid applications will be processed as per the applicable uniform cut off timings on the working days as mentioned in the respective scheme SID.
- If the required minimum balance is not available in the transferor scheme for 3 consecutive attempts, the STP registered will be terminated.
- STP will be automatically terminated if all units are liquidated or withdrawn from the Transferor Scheme or pledged or upon receipt of intimation of death of the unit holder.
- A request for STP will be treated as a request for redemption from the Transferor scheme and subscription into the selected Transferee scheme(s), option(s) / plan(s), at the applicable NAV, subject to load and statutory levy, if any.
- The Load Structure prevailing at the time of submission of the STP application will apply for all the instalments indicated in such application.
- Unit holders will have the right to discontinue the STP facility at any time by sending a written request to the Customer Service Centres (CSC) of the AMC or its Registrar. Notice of such discontinuance should be received at least 8 days prior to the due date of the next transfer date. On receipt of such request, the STP facility will be terminated.

14. SYSTEMATIC WITHDRAWAL PLAN (SWP)#**(#Applicable once scheme Re-opens for ongoing subscription)**

1. A minimum period of 8 days shall be required for registration under SWP. In case the required time of 8 calendar days are not met, then the SWP will be processed from the next SWP cycle.
2. The SWP frequencies available under the Scheme are as follows:

Frequency	Cycle Day /Date*	Default Day /Date	Minimum Instalment Amount (in ₹)	Minimum Instalments
Fortnightly	7 th & 21 st of the Month	7 th & 21 st of the Month	₹ 1000 & in multiples of ₹ 1/- thereafter	6
Monthly	Any date except 29 th , 30 th & 31 st of the Month	8 th of the Month	₹ 1000 & in multiples of ₹ 1/- thereafter	6
Quarterly	Any date except 29 th , 30 th & 31 st of the Month	8 th of the Month	₹ 1000 & in multiples of ₹ 1/- thereafter	6

*In case any of these days fall on a non-business day, the transaction will be effected on the next business day of the Scheme

3. Units marked under Lien, Pledge or Lock-in Period in the Transferor scheme will not be eligible for SWP.
5. All valid applications will be processed as per the applicable uniform cut off timings on the working days as mentioned in the respective scheme SID.
6. If the required minimum balance is not available in the scheme for 3 consecutive attempts, the SWP registered will be terminated. Also the SWP will be automatically terminated if all units are liquidated or withdrawn from the Scheme or pledged or upon receipt of intimation of death of the unit holder.
7. The Load Structure prevailing at the time of submission of the SWP application will apply for all the instalments indicated in such application.
8. Unit holders will have the right to discontinue the SWP facility at any time by sending a written request to the Customer Service Centres (CSC) of the AMC or its Registrar. Notice of such discontinuance should be received at least 8 days prior to the due date of the next transfer date. On receipt of such request, the SWP facility will be terminated.

15. CONSENT FOR TELEMARKETING

Monarch AMC shall treat this as an explicit consent by the Unit Holder/(s) to send promotional information/ material to the mobile number(s)/ email id provided by the Unit Holder/(s) in this Application Form and such consent shall supersede all the previous consents/ registrations by the Unit Holder/(s) in this regard. If you do not wish to receive such promotional information/ materials, please write to us at atcustomercare@monarchamc.in or submit a written application at any of the Investor Service Centres (ISC) of the Fund. Please quote your PAN and folio number(s) while communicating with us to help you serve better.